

UTILITY PATENT APPLICATION TRANSMITTAL

12/31/97 1c549 U.S. PRO

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|--|-----------------------------|-------------------|
| Address to: Assistant Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231 | <i>Attorney Docket No.</i> | 1431/USW 0391 PUS |
| | <i>First Named Inventor</i> | Russ L. Albert |
| | | |

1. This application entitled INTERNET-BASED DATABASE REPORT WRITER AND CUSTOMER DATA MANAGEMENT SYSTEM is:

- a. ☒ A new application under 37 C.F.R. §1.53(b).
- b. ☐ A ☐ continuation ☐ divisional or ☐ continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No. / filed on , entitled .

Application elements and other attached papers:

- 2. ☒ Specification [Total Pages 16]
- 3. ☒ Drawings (☒ informal ☐ formal) [Total Sheets 2]
- 4. ☐ Oath or Declaration
 - a. ☐ Newly-executed
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
- 5. ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Express
 Mail Label No. EI 407 992 289 US

Date of Deposit: December 31, 1997

Angelika S. Phillips
(Type or print name of person mailing paper)

(Signature of person mailing paper)

7. Preliminary Amendment:

- a. ☐ A Preliminary Amendment is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☐ Please amend the specification by inserting before the first line the sentence:
- "This is a
- ☐ continuation
- ☐ divisional
- of copending application(s)
- Serial number _____ / _____ filed on _____."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

8. Small entity status:

- a. ☐ A small entity statement is attached.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|--------------|--------------|------------------------------------|--------------|
| TOTAL CLAIMS (37 C.F.R. § 1.16(c)) | 12 -20 = | | X 22.00 | -- |
| INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b)) | 2 -3 = | | X 82.00 | -- |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d)) | | | 270.00 | |
| | | | BASIC FEE (37 C.F.R. § 1.16(a)) | 790.00 |
| Total of above Calculations = | | | | 790.00 |
| Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28) | | | | |
| Assignment Recordal Fee | | | 40.00 | |
| TOTAL = | | | | 790.00 |

10. ☐ A check in the amount of \$_____ is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to U S WEST, Inc. Deposit Account No. 21-0456:
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.

12. Maintenance of Copendency of Prior Application

___ A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. ___ An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:

a. ___ Form PTO-1449 (_____ sheet(s))

b. ___ Copies of references cited

14. ___ Certified copy of priority document(s)

15. X Return Receipt Postcard

16. ___ Other: _____

17. ___ An Assignment of the invention to U S WEST, Inc.

a. ___ is attached with an Assignment Recordation form.

b. ___ was recorded on _____ at Reel _____, Frame _____.

18. The power of attorney in the prior application is to:

Name of Attorney of Record

Reg. No.

___ The power appears in the original papers in the prior application.

___ The power does not appear in the original papers, but was filed on _____.

___ A new power has been executed and is attached.

19. Correspondence Address: Please address all future communications to:

Judson D. Cary
U S WEST, Inc.
7800 East Orchard Rd., Ste. 490
Englewood, CO 80111
Telephone: 303-796-6013
Facsimile: 303-793-6563

Respectfully submitted,

Date December 30, 1997

Ralph E. Smith
Name: Ralph E. Smith
Registration No.: 35,474

X Attorney or agent of record
___ Filed under Rule 34(a)